Daiwa Securities Group Inc.

https://www.daiwa-grp.jp/english/sdgs/

Policy for the future:

The Group places "Green & Social" as one of its materiality in a management vision "Vision 2030". In order to promote the transition to a carbon-neutral society and realize a resilient society, we promote green and transition finance. In addition, with the "Daiwa Securities Group Net Zero Carbon Declaration" which entails achieving net zero greenhouse gas emissions within our own operations* by 2030 (Scope 1 & 2) as well as net zero greenhouse gas emissions within our investment and loan portfolios, etc. by 2050 (Scope 3), we are pursuing an early realization of carbon-neutral society. *The Group's emissions will be calculated on a consolidated basis

SDGs Finance Underwriting of SDGs-IPO/ Social PO Underwriting/Sales of SDGs Bonds Supports customers' decarbonizing Supports customers' IPO/PO that initiatives, environmental activities, and social projects

contribute to SDGs or solving social issues

Transition Bonds

- Green Bonds
- Social Bonds
- •Sustainability Bonds etc.

SDGs-related Funds

Offering Funds That Invest in Companies Addressing Social Issues

~Carbon Neutral Equity Fund (Known as Carbon ZERO)~

- ✓ Aims to achieve net carbon zero as a whole fund by calculating investees' CO₂ emissions and investing in their green projects
- ✓ Donates part of the trustee revenue to tree-planting activities and provides a tree-planting certificate to customers
- ✓Received the "Governor's Special Prize for Green Finance" in the ESG Investment Category of the Tokyo Financial Award 2021

Introducing Renewable Energy

Switchover to Renewable Energy at the Head Office

Utilizes a tracking vested non-fossil fuel energy certificate with an environmental value of power plants owned by Daiwa Energy & Infrastructure



Supporting the TNFD

Participating in the TNFD Forum

Daiwa Securities Group Inc. and Daiwa Asset Management agree with the objectives of the TNFD and have participated in the TNFD Forum since September 2022.

Aims to shift global financial flows toward "Nature Positive"

- ✓DSGI:In "Environmental and Social Policy Framework", takes into consideration the loss of biodiversity when providing financing
- ✓DAM:Regards natural capital as one of its ESG materiality and conducts stewardship activities to improve the sustainability of investees and society

Daiwa Securities Group will continue to address climate change and conserve biodiversity through various initiatives.

